PCT

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : **A61K 39/00**	**A2**	(11) International Publication Number: **WO 99/33488** (43) International Publication Date: 8 July 1999 (08.07.99)

(21) International Application Number: PCT/EP98/08562

(22) International Filing Date: 18 December 1998 (18.12.98)

(30) Priority Data:
9727262.9   24 December 1997 (24.12.97)   GB

(71) Applicant *(for all designated States except US)*: SMITHK-LINE BEECHAM BIOLOGICALS S.A. [BE/BE]; Rue de l'Institut 89, B–1330 Rixensart (BE).

(72) Inventors; and
(75) Inventors/Applicants *(for US only)*: DALEMANS, Wilfried, L., J. [BE/BE]; SmithKline Beecham Biologicals S.A., Rue de l'Institut 89, B–1330 Rixensart (BE). LAFERRIERE, Craig, Antony, Joseph [CA/BE]; SmithKline Beecham Biologicals S.A., Rue de l'Institut 89, B–1330 Rixensart (BE). PRIEELS, Jean-Paul [BE/BE]; SmithKline Beecham Biologicals S.A., Rue de l'Institut 89, B–1330 Rixensart (BE).

(74) Agent: DALTON, Marcus, Jonathan, William; SmithKline Beecham, Two New Horizons Court, Brentford, Middlesex TW8 9EP (GB).

(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published
Without international search report and to be republished upon receipt of that report.

(54) Title: VACCINE

(57) Abstract

The present invention provides vaccine formulations comprising T–independent or polysaccharide conjugate vaccines adjuvanted with an immunostimulatory CpG oligonucleotide.

Vaccine

The present invention relates to new vaccine formulations, and to methods
for their production and their use in medicine.

Immunomodulatory oligonucleotides containing unmethylated CpG
dinucleotides ("CpG") are known (WO 96/02555, EP 468520). CpG is an
abbreviation for cytosine-guanosine dinucleotide motifs present in DNA.
Historically, it was observed that the DNA fraction of BCG could exert an anti-
tumor effect. In further studies, synthetic oligonucleotides derived from BCG gene
sequences were shown to be capable of inducing immunostimulatory effects (both in
vitro and in vivo). The authors of these studies concluded that certain palindromic
sequences, including a central CG motif, carried this activity (Tokunaga, T. et al.
Microbial. Immunol. 36: 55 (1992)). The central role of the CG motif in
immunostimulation was later elucidated in a publication by Krieg (Nature 374 p546
1995). Detailed analysis has shown that the CG motif has sequences that are
common in bacterial DNA but are rare in vertebrate DNA.

It is currently believed that this evolutionary difference allows the vertebrate
immune system to detect the presence of bacterial DNA (as occurring during an
infection) leading consequently to the stimulation of the immune system.
Immunostimulatory activity has been shown for sequences as small as 15 nucleotide
bases (Krieg, et al. Nature 374: 546 (1995)) and that the CpG motif has to be
unmethylated. It has been postulated that the oligo should be in a hexamer setting:
purine purine CG pyrimidine pyrimidine, but this is not obligatory.

Streptococcus pneumoniae is a gram positive bacteria that is pathogenic for
humans, causing invasive diseases such as pneumonia, bacteremia and meningitis,
and diseases associated with colonisation, such as acute Otitis media. The
mechanisms by which pneumococci spread to the lung, the cerebrospinal fluid and
the blood is poorly understood. Growth of bacteria reaching normal lung alveoli is
inhibited by their relative dryness and by the phagocytic activity of alveolar
macrophages. Any anatomic or physiologic derangement of these co-ordinated
defenses tends to augment the susceptibility of the lungs to infection. The cell-wall
of Streptococcus pneumoniae has an important role in generating an inflammatory

response in the a veoli of the lung (Gillespie et al , I&I 65: 3936). The release of cell-wall components occurs at the end of the pneumococcal growth cycle by autolysis due to the synthesis of the protein N-acetyl muramoyl-L-alanine amidase (lytA). DNA will also be released into the infected region upon autolysis of the pneumococci.

In order for the organism to have an effective immune response against invading bacteria, it must have mechanisms to coordinate the type of immune response most likely to stop infection. For intracellular pathogens, the coordination appears to occur between cell mediated or humoral immune responses, and these are controlled by T-cells of the type Th1 and Th2. However, extracellular bacteria frequently employ a polysaccharide either in the form of a capsule or a lipopolysaccharide to protect themselves from the effects of serum complement which can lyse the bacteria, or render them accessible to phagocytes such as macrophage and neutrophils.

In this case, the immune response follows another path, the T-independent immune response. The T-independent immune response may be further divided into Type 1 and Type 2. T-independent type 2 antigens possess the characteristics embodied by polysaccharide antigens, including: large molecular weight, repeat antigenic epitopes, ability to activate the complement cascade, poor in vivo degradability and inability to stimulate MHC class II dependent T cell help (Mond et al. Annu Rev Immunol 13:655-92). The Type 1 antigens, unlike the polysaccharides, are mitogenic for B-cells, and are comprised of the lipopolysaccharides (LPS). T-independent Type 2 antigens can not stimulate responses in neonatal mice or CBA/N mice that carry an X-linked immune B-cell defect (xid mice), whereas Type 1 antigens can.

Type 2 antigens induce weaker antibody responses as compared to T-dependent antigens such as proteins. Proteins are able to activate B-cells and induce the secretion of antibody by being processed into peptides and presented on the surface of the B-cell in the context of MHC class II, enabling the B-cell to interact with T-cells and receive additional signals required for maximal B-cell proliferation and maturation. However, whereas oligosaccharides may in some cases associate with MHC class II (Ishioka et al. J. Immunol. 148: 2446-2451) and lipidated

polysaccharides appear to associate with CD1 present on lymphocytes, (Fairhurst, R.M. et al. Immunology Today 19: 257 (1998)), there is no known mechanism of presentation for Type 2 antigens to T cells.

5   Nevertheless, the multiple repeat nature of the polysaccharide polymer antigen can cause cross-linking of receptors on the B-cell surface, leading to B-cell activation by a mechanism not requiring T-cells. Thus polysaccharides are T-independent antigens and they are characterised in animals and human infants by the production of IgM antibodies, and the lack of boosting and immunological memory. It is only adult humans that can produce significant amounts of IgG antibody to

10   most (but not all) polysaccharide antigens. The ability to switch antibody isotype to IgG coincides with the appearance of the complement receptor 2 (CR2) on the B-cells of infants or toddlers between 1.5 to 2 years of age, and this may provide the additional signal required for activation and maturation of B-cells.

The present invention in one aspect provides a vaccine formulation which is
15   capable of raising an immune response to T independent antigen.
Production of IgG antibodies to the capsular polysaccharides of bacteria is essential because the principal mechanism of protection against these bacteria, complement mediated lysis and opsonophagocytosis, are most effective with this antibody isotype (Maslanka et al. Clin Diag Lab Immunol 4: 156-67, and Romero-Steiner et

20   al. Clin Diag Lab Immunol 4: 415-22).

Polysaccharide antigen based vaccines are well known in the art, and four that have been licensed for human use include the Vi polysaccharide of *Salmonella typhi*, the PRP polysaccharide from *Haemophilus influenzae*, the tetravalent meningococcal vaccine composed of serotypes A, C, W135 and Y, and the 23-

25   Valent pneumococcal vaccine composed of the polysaccharides corresponding to serotypes 1, 2, 3,4, 5, 6B, 7F, 8, 9N, 9V, 10A, 11A, 12F, 14, 15B, 17F, 18C, 19A, 19F, 20, 22F, 23F, and 33. .

The latter three vaccines confer protection against bacteria causing respiratory infections resulting in severe morbidity and mortality in infants, yet

30   these vaccines have not been licensed for use in children less than two years of age because they are poorly immunogenic in this age group.

The licensed polysaccharide vaccines listed above have different demonstrated clinical efficacy. The Vi polysaccharide vaccine has been estimated to have an efficacy between 55% and 77% in preventing culture confirmed typhoid fever (Plotkin and Cam, Arch Intern Med 155: 2293-99). The meningococcal C polysaccharide vaccine was shown to have an efficacy of 79% under epidemic conditions (De Wals P, et al. Bull World Health Organ. 74: 407-411). The 23-valent pneumococcal vaccine has shown a wide variation in clinical efficacy, from 0% to 81% (Fedson et al. Arch Intern Med. 154: 2531-2535). The efficacy appears to be related to the risk group that is being immunised, such as the elderly, Hodgkin's disease, splenectomy, sickle cell disease and agammaglobulinemics (Fine et al Arch Intern Med. 154:2666-2677), and also to the disease manifestation. Pneumococcal pneumonia and Otitis media are diseases which do not have demonstrated protection by the 23-valent vaccine. It is generally accepted that the protective efficacy of the pneumococcal vaccine is more or less related to the concentration of antibody induced upon vaccination; indeed, the 23 polysaccharides were accepted for licensure solely upon the immunogenicity of each component polysaccharide (Ed. Williams et al. New York Academy of Sciences 1995 pp 241-249).

To increase the antibody response to the pneumococcal polysaccharides comprising the 23-valent vaccine, the present inventors tried to improve the immune response by addition of the immunostimulant QS21 EP 362 279 and dQS21 WO 96/33739; however, no increase in antibody responses to the polysaccharides in Rhesus monkeys could be measured.

Threadgill *et al* Vaccine 1998 Vol 16(1) p76 have recently reported that Immunostimulatory CpG oligonucleotides depress the polysaccharide specific antibody response when the oligonucleotide is formulated with Pseudomonas aeruginosa polysaccharide.

Surprisingly, the present inventors have found that it is possible to adjuvant the immune response to pneumococcal polysaccharide vaccines by formulating with an immunostimulatory CpG oligonucleotide, such formulations provided an immune response which produces significant levels of IgG antibodies.

According to the present invention there is provided a vaccine composition comprising a polysaccharide antigen adjuvanted by an Immunostimulatory oligonucleotide.

The polysaccharide antigen may be unconjugated or conjugated to a carrier
5   protein such that it provides T-helper epitopes.

The oligonucleotides may be DNA or RNA, but preferably contain a hexamer motif: purine purine CpG pyrimidine pyrimidine. More preferably the internucleotide linkage are modified to increase stability of the oligonucleotide. Preferred modifications are phosphorothioate linkages. The lytA protein involved
10   in the catalytic degradation of the cell wall of pnemococci is produced at the time of autolysis, and is part of the competence induced operon (Mol. Microbiol 29:1125 (1998)). By definition the mRNA encoding lytA will be present in large quantities during synthesis of the lytA protein. Furthermore, the lytA protein contains a phosphoryl choline binding region that contains repeat DNA sequences (Yother and
15   Briles J Bacteriol. 174: 601 (1992)), and which may be found on many other choline binding proteins present in Streptococci. The following CpG sequences were identified from the phosphoryl choline binding regions of lytA and from choline binding protein A (cbpA) (Rosenow et al. Mol. Microbiol 25: 819-829 (1997)).

20

OLIGO 1: GCTACTGGT<u>ACG</u> T<u></u>ACATTC AG<u>ACGG</u>C TCTT (lytA)
OLIGO 2: ACTATCTAA<u>ACGC</u>TAATGGTGCTATGG<u>CGA</u>CAGGATGGCT (cbpA)

25   and may be utilised in the present invention.

The following oligonucleotide immunostimulatory sequences also form preferred embodiments of the invention.

OLIGO 3: TCC ATG <u>ACG</u> TTC CTG <u>ACG</u> TT
30   OLIGO 4: TCT CCC A<u>GC GTG CGC</u> CAT

The CpG and flanking sequences have been underlined, and there is conserved ACGT, ACG and GCG motifs. The sequences derived from the choline binding regions of pneumococcal proteins have two CpG motifs that repeated 10 or 15 nucleotide bases apart, and a motif based on this nucleotide base distance

5   between two CpGs occurs three times and five times respectively in the lytA and CbpA proteins. However, the published sequences have two CpG motifs that are seven or two nucleotide bases apart.

In one embodiment, when combined with commercially available 23 valent polysaccharide vaccine (Pneumovax, Pasteur Merieux), CpG adjuvantation

10   significantly augmented the immune response (IgG antibody) especially to polysaccharide types 19F and 14 when administered Intramuscularly.

Thus advantageously in an embodiment of the present invention it is possible to enhance the efficacy of a commercially available pneumococcal vaccine. This is particularly important in high risk populations, especially those which have sub-

15   optimal antibody responses to the polysaccharides. Such populations may include, but are not limited to, the elderly, patients with any of the following: splenectomy, congenital asplenia, hyposplenia, sickle cell disease, cyclic neutropenia , drug-induced neutropenia, aplastic anaemia, congenital agammaglobulinemia, hypogammaglobulinemia, selective IgG subclass deficiency, multiple myeloma,

20   chronic lymphocytic leukaemia, lymphoma, HIV infection, multifactorial conditions such as glucocorticoid treatment, malnutrition, cirrhosis of the liver, renal insufficiency, diabetes mellitus, alcoholism, chronic disease, hospitalisation, fatigue, stress, cold exposure, prior respiratory infection, influenza, asthma. It may also include healthy adults such as health workers, military trainees, prisoners, or

25   others including school attendees or travellers wishing to ensure full vaccine coverage.

In a preferred application, the CpG adjuvant is used to augment the response to the polysaccharide vaccine when used as booster in children between 6 and 24 months of age that have received their primary immunisation with a multivalent

30   pneumococcal polysaccharide-protein conjugate. Such vaccines utilised for primary immunisation may also advantageously, be adjuvanted with a CpG oligonucleotide. Accordingly in one embodiment there is provided a method of immunisation of a

patient comprising administering an effective amount of a vaccine according to the invention.

In a second embodiment there is provided a method of boosting an immune response to a subject previously primed to an antigen by administering a T-independent antigen with a CpG immunostimulatory oligonucleotide.

CpG adjuvantation may be applied, according to the present invention, to other polysaccharide and T-independent antigen based vaccines. These include, but are not limited to, the Vi polysaccharide vaccine against *Salmonella typhi,* the tetravalent meningococcal polysaccharide vaccine (comprising types A, C, W135 and Y), the polysaccharide and modified polysaccharides of group B meningococcus, polysaccharides from *Staphylococcus aureus*, polysaccharides from *Streptococcus agalactae*, polysaccharides from Mycobacteria, eg *Mycobacterium tuberculosis,* such as mannophosphoinisitides trehaloses, mycolic acid, mannose capped arabinomannans, the capsule therefrom and arabinogalactans, polysaccharide from *Cryptococcus neoformans*, the lipopolysaccharides of non-typeable *Haemophilus influenzae*, the lipopolysaccharides of *Moraxella catharralis*, the lipopolysaccharides of *Shigella sonnei*, the lipopeptidophosphoglycan (LPPG) of *Trypanosoma cruzi*, the cancer associated gangliosides GD3, GD2, the tumor associated mucins, especially the T-F antigen, and the sialyl T-F antigen, and the HIV associated polysaccharide that is structurally related to the T-F antigen. Other T independent antigens may be derived from: Salmonella, Cholera, Echerichia, Chlamydia and T-independent antigens from Plasmodium.

Vaccine preparation is generally described in Pharmaceutical Biotechnology, Vol.6! Vaccine Design - the subunit and adjuvant approach, edited by Powell and Newman, Plenurn Press, 1995. Encapsulation within liposomes is described, for example, by Fullerton, US Patent 4,235,877. Conjugation of proteins to macromolecules is disclosed, for example, by Likhite, US Patent 4,372,945 and by Armor et al, US Patent 4,474,757.

The amount of protein in each vaccine dose is selected as an amount which induces an immunoprotective response without significant, adverse side effects in typical vaccinees. Such amount will vary depending upon which specific immunogen is employed and how it is presented. Generally, it is expected that each

dose will comprise 0.1-1000 µg of polysaccaharide or polysaccharide – protein
conjugate, preferably 2-100 µg, most preferably 4-40 µg. An optimal amount for a
particular vaccine can be ascertained by standard studies involving observation of
appropriate immune responses in subjects. Following an initial vaccination,

5   subjects may receive one or several booster immunisation adequately spaced.

The oligonucleotides utilised in the present invention are typically
deoxynucleotides. In a preferred embodiment the internucleotide bond in the
oligonucleotide is phosphorodithioate, or more preferably phosphorothioate bond,
although phosphodiesters are within the scope of the present invention. Other

10   internucleotide bonds which stabilise the oligonucleotide may be used.

The CpG oligonucleotides utilised in the present invention may be
synthesized by any method known in the art (eg EP 468 520) conveniently such
oligonucleotides can be synthesized utilising an automated synthesizer . Methods
for producing phosphorothioate oligonucleotides or phosphorodithioate are

15   described in US 5,663,153, US 5,278,302 and WO 95/26204.

Example 1 - CpG adjuvantation of 23-valent Pneumococcal Polysaccharide in
mice

20   Protection against pneumococcal infection is mediated by IgG antibody to the
capsular polysaccharide, along with the deposition of complement that renders the
bacteria susceptible to killing by neutrophils via opsonophagocytosis. Thus the
protective efficacy of the vaccine may be estimated solely on the basis of IgG
antibody induction. Groups of 10 mice were immunised once with a commercial

25   23-Valent pneumococcal polysaccharide vaccine at 1/10, 1/50 or 1/250 human dose
(57.7, 11.5 and 2.3 µg total polysaccharide respectively), and adjuvanted with CpG
(50 µg of oligo 1), CpG + Alum. Following immunization, serum IgG
concentrations to the 4 most important serotype polysaccharides (6B, 14, 19F and
23F) were measured by ELISA every 7 days for 4 weeks.

Materials and Methods

The following groups were immunised. (10 balb/c mice per group):

23 Valent at 2.3, 11.5 and 57.5 μg/dose (1/250, 1/50 and 1/10 human dose)

5   23 Valent + CpG (50 μg) in the same dose range

23 Valent + CpG + Al(OH)3 in the same dose range

Components used

Component	Batch	Concentration μg/ml	Buffer
23 Valent from Pasteur Mérieux (Pneumovax 23)	95K03-HC56630	1150	Saline
CpG	Oligo 3	5000	H_2O
Al(OH)$_3$	96A0089	10380	H_2O

10

Formulation process

The Pneumovax was diluted in H_2O and 10-fold concentrated 10mM PO$_4$, 150 mM

NaCl pH 6.8 to obtain 2.3, 11.5 or 57.7 μg of antigen per dose. CpG was added

15   for 30min and for groups containing Al(OH)$_3$ the formulations were adsorbed for 30

min on either Al(OH)$_3$ (50μg). Thiomersal (50 μg/ml) was added as preservative.

ELISA

20   There were 10 animals per group, but since bleeds were performed every week,

only 5 animals per week were bled. ELISA and opsonophagocytosis were

performed on pooled sera.

The ELISA was performed to measure murine IgG using the protocol was derived

25   from the WHO Workshop on the ELISA procedure for the quantitation of IgG

antibody against *Streptococcus pneumoniae* capsular polysaccharides in human

serum. In essence, purified capsular polysaccharide is coated directly on the microtitre plate. Serum samples are pre-incubated with the cell-wall polysaccharide common to all pneumococcus and which is present in ca. 0.5% in pneumococcal polysaccharides purified according to disclosure (EP72513 B1). Jackson

5   ImmunoLaboratories Inc. reagents were employed to detect bound murine IgG. The titration curves were referenced to internal standards (monoclonal antibodies) modeled by logistic log equation. The calculations were performed using SoftMax Pro software. The maximum <u>absolute</u> error on these results expected to be within a factor of 2. The relative error is less than 30%.

10

Results

IgG isotype antibodies were found against serotypes 14 and 19G, but not against 6B and 23F, and the results for serotype 14 are presented in figure 1. The response

15   was dose dependent with 1/10 human dose giving the highest response, indicating that the IgG response was specific for the polysaccharide. This is unusual since mice normally only produce IgM against pneumococcal polysaccharides. The peak response was on day 14 post immunisation, which is not unusual since T-independent antigens do not induce memory.

20

Additional individual analysis were carried out to determine the variance and the statistical significance (data not shown). The response to 1/10 dose 23-valent was (statistically) significantly increased when adjuvanted with CpG alone (for Type 19, GMC 0.8 compared to 3.7 µg/ml p=0.07; for Type 14, GMC 0.19 compared

25   to 3.4 µg/ml, p=.001). This was also true for 1/50 and 1/250 doses when measured against type 14. In addition, responses were significantly increased to type 14 when adjuvanted with CpG+Alum.

The highest response was induced when the vaccine was adjuvanted with CpG

30   alone.

Example 2 - Effect of CpG adjuvantation on the immunogenicity of tetravalent Pneumococcal PS-PD conjugates in the infant rat model

The infant rat model was selected since published data showed that the relative
immunogenicity of 4 pneumococcal polysaccharide protein conjugates in human
infants was more similar to rats than mice. That is 6B<23F<14<19F for infant
rats. Infants rats were selected because their immune system may have
developmental immaturity similar that that found in human infants.

Infant rats were immunized with Clinical grade lots of Tetravalent pneumococcal
polysaccharide-PD[a] conjugates in a 5-fold dose range and with the adjuvants CpG
and AlPO4+CpG. Oligo 1 was used at a dosage of 100μg. Animals were first
immunized when they were 7 days old and received subsequent immunizations 14
and 28 days later. Serology was performed on samples from day 42 (14 days post
III) and 56 (28 days post III).

The best adjuvant was CpG alone : it increased geometric mean IgG concentrations
and opsonic titers to 6B, 23F and 19F, whereas titers for serotype 14 were
comparable to the other adjuvanted preparations. The CpG alone formulation was
also able to significantly increase the seroconversion rates to the 6B-PD serotype.

Materials and Methods

Vaccine groups

The vaccine lot DSP0401x contains Tetravalent PS-PD Clinical-grade lots
D6BPJ208 + D14PDJ202 + D19PJ206 + D23PDJ212. ESPL001 contains
Tetravalent PS-LPD lots E6BL040P + E14L66P + E19FL033P + E23FL21P.

Group	Vaccine Lot	Adjuvant	Dose (μg each PS)
1	none	CpG	-
2	DSP0401x	none	0.1
3	DSP0401x	none	0.5
4	DSP0401x	AlPO4	0.1

Group	Vaccine Lot	Adjuvant	Dose (μg each PS)
5	DSP0401x	AlPO4	0.5
6	DSP0401x	AlPO4	2.5
7	ESPL001	AlPO4	0.1
8	ESPL001	AlPO4	0.5
9	ESPL001	AlPO4	1.25
10	DSP0401x	CpG	0.1
11	DSP0401x	CpG	0.5
12	DSP0401x	CpG/AlPO4	0.1
13	DSP0401x	CpG/AlPO4	0.5

Components used

Component	Batch	Concentration μg/ml	Buffer
Conjugate PD6B	D6BPDJ208	206	NaCl 0.2M pH 6.5
Conjugate PD14	D14PDJ202	186	NaCl 0.2M pH 6.5
Conjugate PD19	D19PDJ206	175	NaCl 0.2M pH 6.5
Conjugate PD23	D23PDJ212	158	NaCl 0.2M pH 6.5
monovalent PD6B	D6BPDD208	100	NaCl 150mM pH 6.1
monovalent PD14	D14PDD202	100	NaCl 150mM pH 6.1
monovalent PD19	D19PDD206	100	NaCl 150mM pH 6.1
monovalent PD23	D23PDD212	96	NaCl 150mM pH 6.1
monovalent LPD6B	E6BL040P	50	NaCl 150mM pH 6.1
monovalent LPD14	E14FL66P	50	NaCl 150mM pH 6.1
monovalent LPD19	E19FL033P	50	NaCl 150mM pH 6.1
monovalent LPD23	E23FL21P	50	NaCl 150mM pH 6.1
Tetravalent LPD	ESPL001	5/valence	NaCl 150mM pH 6.1
CpG	Oligo 1, WD1001	5000	H2O
AlPO4	97D0045	5040	NaCl 150mM pH 6.1

5

Formulation Process

Non adsorbed tetravalents.

5 The four conjugates are diluted in H2O and 10-fold concentrated NaCl 150mM.
Phenoxyethanol (500 μg/ml) is added as preservative.

If CpG is needed, the oligonucleotide is added to the non adsorbed tetravalent. The
isotonicity and the dilution when needed are ensured by NaCl.

10

Adsorbed tetravalents

The four concentrated, adsorbed monovalents are diluted in H2O and 10-fold
concentrated 150mM NaCl before addition of the of complement of AlPO4.

15 Phenoxyethanol (500 μg/ml) is added as preservative.

If dilutions are needed, the tetravalents are diluted in AlPO4 at 1 mg/ml. These
diluents are prepared in NaCl 150 mM.

20 If CpG is needed, the oligonucleotide is added to the adsorbed teravalent. The
isotonicity is ensured by addition of NaCl 1500 mM and if dilutions are required,
diluents of AlPO4 at 1.3 or 1.8 mg/ml in NaCl are added.

All the formulations are prepared in non siliconized glass vials.

25

Immunisation Protocol

Infant rats were randomised to different mothers and were 7 days old when they
received the first immunisation. They received subsequent immunisations 14 and 28
30 days later. Bleeds were performed on day 42 (14 days post III) and 56 (28 days post
III). All vaccines were injected s.c., and there were 10 rats per vaccine group.

ELISA

The ELISA was performed to measure rat IgG using the protocol derived from the

5   WHO Workshop on the "ELISA procedure for the quantitation of IgG antibody
against Streptococcus pneumoniae capsular polysaccharides in human serum". In
essence, purified capsular polysaccharide is coated directly on the microtitre plate.
Serum samples are pre-incubated with the cell-wall polysaccharide common to all
pneumococcus and which is present in ca. 0.5% in pneumococcal polysaccharides

10   purified. Jackson ImmunoLaboratories Inc. reagents were employed to detect
bound rat IgG. The titration curves were referenced to the titration curve of a
reference serum modeled by logistic log equation. The calculations were performed
using SoftMax Pro software. The standard sera were calibrated using a method of
corollary response, and the values were demonstrated to correspond to estimations

15   of Ig concentrations found by immunoprecipitation (Ref. 21).

Opsonophagocytosis

The opsonophagocytic assay was performed following the CDC protocol

20   (Streptococcus pneumoniae Opsonophagocytosis using Differntiated HL60 cells,
version 1.1). Modification included the use of in-house pneumococcal strains, and
the phagocytic HL60 cells were replaced by purified human PMN. Rat polyclonal
sera were included as a positive control.

25   Results

Figure 2 shows the geometric mean IgG concentrations elicited against serotype 6B
by the tetravalent combinations described in the materials and methods. For clarity,
the axes are divided by adjuvant and dose. Similar results were obtained against the

30   serotypes 19F and 23F, but type 14 had a more uniform reponse to all adjuvants
and doses.

- 14 -

The biological activity of the pooled antisera from each adjuvant group and dose was measured by opsonophagocytosis. The opsonic activity relative to the concentration of IgG will give an estimate of the functional activity of the antisera.

5   The values, shown in Table 1 show that all adjuvants induce antibody that has approximately the same capacity to opsonise pnemococci. Thus CpG aids in the induction of specific antibody, and increases in antibody concentration correlate with increases in protective efficacy.

10   **Conclusion**

- AlPO4 (compared to no adjuvant) significantly increases the seroconversion rate, geometric mean IgG concentration, opsonic activity and immunological
15   memory to tetravalent PS-PD.

- The 0.1 µg dose is significantly more immunogenic than 0.5 µg dose for serotypes 6B, 19F and 23F PS-PD conjugates on AlPO4.

20

- IgG concentrations are significantly increased against serotypes 6B, 19F and 23F when the conjugate vaccine is adjuvanted with CpG compared to AlPO4. This is confirmed by increased seroconversion rates and increased
25   opsonophagocytic titres.

Table 1. Relative opsonic activity (Concentration of IgG required for 50% killing of pneumococcus) compared by serotype and adjuvant.

Vaccine	Adjuvant	Dose	Concentration of IgG required for 50% killing				Average by adjuvant
		µg	6B	14	19F	23F	
DSP0401x	none	0,1	0,32	0,30	0,30	0,37	0.26±0.14
		0,5	No Value	0,015	No Value	No Value	
DSP0401x	AlPO4	0,1	0,02	0,31	0,40	0,09	0.20±0.15
		0,5	No Value	0,05	0,22	No Value	
		2,5	No Value	0,32	#VAL	No Value	
ESPL001	AlPO4	0,1	0,08	0,46	No Value	0,22	0.35±0.27
		0,5	0,11	0,71	0,75	0,08	
		1,25	0,10	0,55	0,66	0,20	
DSP0401x	CPG	0,1	0,42	0,15	No Value	0,20	0.24±0.10
		0,5	0,21	0,30	No Value	0,17	
DSP0401x	CPG / AlPO4	0,1	0,27	0,10	No Value	0,21	0.20±0.14
		0,5	No Value	0,10	0,44	0,09	
Average	by serotype		0.19±0.14	0.29±0.20	0.45±0.20	0.18±0.09	

Example 3 - Effect of CpG adjuvantation on the immunogenicity of 11-Valent Pneumococcal PS-PD conjugates in the infant rat model

Example 2 showed that CpG adjuvantation of conjugate vaccines resulted in fold increases of the order of 5 to 10 times higher than with conventional adjuvants (Aluminium). In order to determine whether these effects were dependent on the Oligo sequence, dosage, or formulation, further experimentation was undertaken.

CpG OLIGO 2 was selected and used at a lower dosage, that is 1 and 10 µg. It was also adsorbed onto Al(OH)3, and combined with the conjugate vaccines.

In addition, since the immunological characteristics of each polysaccharide may be different, 11 serotypes were tested.

Material and Methods

Table 2. Choice of pneumococcal PS-PD lots

Serotype	1	3	4	5	6B	7F	9V	14	18C	19F	23F
Lot number	017	040	218	024	209	019	222	204	221	207	213

Formulation ·

To examine the effect of different advanced adjuvants, the dosage of conjugate was held constant at 0.1 µg of each polysaccharide, and the adjuvants AlPO4, Al(OH)3and CpG were formulated in different dosages and combinations. In total, 10 different combinations were tested, including no adjuvant at all. These are listed numerically in Table 3 for reference.

Preparation of diluents

Two diluents were prepared in NaCl 150 mM/phenoxy

A: $AlPO_4$ at 1mg/ml.
B: CpG on $Al(OH)_3$ at 200 and 1000 µg/ml respectively. Weight ratio
$CpG/Al(OH)_3 = 1/5$

Preparation of adsorbed undecavalent

The eleven concentrated, adsorbed PS-PD monovalents were mixed at the right ratio. The complement of AlPO4 was added. When needed, CpG (CpG adsorbed on Al(OH)3) or diluent was added.

Preparation of non-adsorbed undecavalent

The eleven PS-PD conjugates were mixed and diluted at the right ratio in NaCl 150 mM pH 6.1, phenoxy. When needed, CpG was added either as a solution (non adsorbed) or as CpG adsorbed on Al(OH)3.

The formulations for all injections were prepared 18 days before the first administration.

5   Table 3.   Summary Table of Adjuvant Formulations tested with 11-Valent Pneumococcal PS-PD in Infant Rats

Group	AlPO4	CpG	Al(OH)3	Description
1				None
2	100			AlPO4
3		1		CpG low
4		10		CpG High
5		1	4.5	CpG ads low
6		10	50	CpG ads high
7	100	1		CpG low Conj ads
8	100	10		CpG Hi Conj ads
9	95	1	4.5	CpG&Conj ads low
10	50	10	50	CpG&Conj ads Hi

Immunisation Protocol

10

Infant OFA rats were randomised to different mothers and were 7 days old when they received the first immunisation. They received 2 additional immunisations 14 and 28 days later. A bleed as performed on day 56 (28 days post III). All vaccines were injected s.c., and there were 10 rats per vaccine group.

15

ELISA

The ELISA was performed to as described in example 2.

20   **Opsonophagocytosis**

The opsonophagocytic assay was performed following the CDC protocol (Streptococcus pneumoniae Opsonophagocytosis using Differntiated HL60 cells, version 1.1). Modification included the use of in-house pneumococcal strains, and the phagocytic HL60 cells were replaced by purified human PMN. In addition, 3 mm glass beads were added to the microtitre wells to increase mixing, and this allowed reduction of the phagocyte:bacteria ratio which was recommended to be 400.

25

- 18 -

Results

Tables 4 to 7 below show the geometric mean IgG concentration, seroconversion rate and arithmetic mean opsonophagocytic titre determined for 4 serotypes of

5   pneumococci after immunisation with an 11Valent pneumococcal PS-Protein D conjugate vaccine adjuvanted with different formulations of CpG OLIGO 2. Compared to no adjuvant, 10 µg CpG induced significant higher IgG concentrations for all serotypes. CpG induced significantly higher IgG concentrations than AlPO4 for serotypes 1, 6B, 18C and 19F.

10   For comparison, included in the Tables are the results from Example2 using OLIGO 1. There are no significant differences in the IgG responses induced by the two OLIGO sequences when OLIGO 2 is used at 10 µg. However, OLIGO 2 at 1 µg shows no immunostimulatory effects evidenced in that the induced IgG

15   concentrations are not significantly different from without CpG.

Adsorption of OLIGO 2 on Al(OH)3 reduces the immunostimulatory effect, and the induction of antibody is not significantly different than AlPO4 as adjuvant.

TABLE 4

Serotype 6B Geometric Mean IgG Concentration, Seroconversion, and Mean
Opsonic Titre on Day 28 Post III Immunisation of Infant Rats with 11-Valent PS-
PD using Different Adjuvants (And Comparison with Tetravalent Immunisation,
Example 2)

Group	AlPO4 µg	Oligo1 µg	Oligo2 µg	6B GMC IgG µg/ml	6B Sero-conversion	6B Opso Titre*	6B GMC IgG µg/ml	6B Sero-conversion	6B Opso Titre*
				Example 2			Example 3		
1				0.047	2/10	12.5	0.004	1/10	<6.25
2	100			0.048	4/10	65	0.019	4/10	<6.25
3			1				0.003	1/10	<6.25
4			10				1.682	10/10	157
		100		0.63	8/10	48			
5			1 µg on Al(OH)3				0.015	6/10	<6.25
6			10 µg on Al(OH)3				0.007	3/10	<6.25
7	100		1				0.029	7/10	<6.25
8	100		10				0.469	9/10	77
	100	100		0.46	7/10	75			
9	95		1 µg on Al(OH)3				0.040	5/10	38
10	50		10 µg on Al(OH)3				0.022	7/10	<6.25

TABLE 5

Serotype 14 Geometric Mean IgG Concentration, Seroconversion, and Mean Opsonic Titre on Day 28 Post III Immunisation of Infant Rats with 11-Valent PS-PD using Different Adjuvants (And Comparison with Tetravalent Immunisation, Example 2)

Group	Al PO4 µg	Oligo 1 µg	Oligo 2 µg	14 GMC IgG µg/ml	14 Sero-conversion	14 Opso Titre*	14 GMC IgG µg/ml	14 Sero-conversion	14 Opso Titre*
				Example 2			Example 3		
1				0.046	3/10	64	0.022	3/10	<6.25
2	100			0.99	10/10	88	0.237	8/10	27
3			1				0.035	4/10	<6.25
4			10				0.361	10/10	88
		100		0.66	9/10	295			
5			1 µg on Al(OH)3				0.093	9/10	<6.25
6			10 µg on Al(OH)3				0.155	9/10	27
7	100		1				0.134	7/10	<6.25
8	100		10				2.028	10/10	188
		100	100	2.3	10/10	888			
9	95		1 µg on Al(OH)3				0.140	6/10	138
10	50		10 µg on Al(OH)3				0.196	10/10	<6.25

TABLE 6

Serotype 19F Geometric Mean IgG Concentration, Seroconversion, and Mean Opsonic Titre on Day 28 Post III Immunisation of Infant Rats with 11-Valent PS-PD using Different Adjuvants (And Comparison with Tetravalent Immunisation, Example 2)

Group	Al PO4 µg	Oligo 1 µg	Oligo 2 µg	19F GMC IgG µg/ml	19F Sero-conversion	19F Opso Titre*	19F GMC IgG µg/ml	19F Sero-conversion	19F Opso Titre*
				Example 2			Example 3		
1				0.04	2/10	64	0.021	2/10	<6.25
2	100			1.07	9/10	367	0.222	7/10	79
3			1				0.015	3/10	<6.25
4			10				4.287	10/10	415
		100		12.	10/10	>1600			
5			1 µg on Al(OH)3				0.417	9/10	32
6			10 µg on Al(OH)3				1.612	9/10	94
7	100		1				0.441	10/10	135
8	100		10				9.475	10/10	>1600
		100	100	11.0	10/10	>1600			
9	95		1 µg on Al(OH)3				0.438	9/10	377
10	50		10 µg on Al(OH)3				0.258	7/10	165

TABLE 7

Serotype 23F Geometric Mean IgG Concentration, Seroconversion, and Mean Opsonic Titre on Day 28 Post III Immunisation of Infant Rats with 11-Valent PS-PD using Different Adjuvants (And Comparison with Tetravalent Immunisation, Example 2)

Group	Al PO4 µg	Oligo 1 µg	Oligo 2 µg	23F GMC IgG µg/ml	23F Sero-conversion	23F Opso Titre*	23F GMC IgG µg/ml	23F Sero-conversion	23F Opso Titre*
				Example 2			Example 3		
1				0.06	2/10	<6.25	0.152	3/10	<6.25
2	100			0.29	10/10	70	0.56	8/10	<6.25
3			1				0.114	4/10	<6.25
4			10				1.305	9/10	192
		100		2.0	10/10	454			
5			1 µg on Al(OH)3				0.28	7/10	<6.25
6			10 µg on Al(OH)3				0.107	2/10	<6.25
7	100		1				0.243	4/10	<6.25
8	100		10				1.545	9/10	862
	100	100		1.1	10/10	265			
9	95		1 µg on Al(OH)3				0.255	3/10	44
10	50		10 µg on Al(OH)3				0.331	6/10	<6.25

Example 5 Influence of CpG on Boosting with Polysaccharide after Priming with Polysaccharide-Conjugate Vaccines, and on Priming with Polysaccharide.

The previous examples have demonstrated the ability of CpG to adjuvant the immune response to T-independent antigens, and to T-independent antigens coupled to a protein carrier. There remained to be considered whether CpG could adjuvant a memory response elicited by boosting with a T-independent antigen after priming with T-dependent antigen. It was of further interest to determine if CpG could act to induce priming by a T-independent antigen.

- 23 -

To determine these effects, mice were primed with either pneumococcal
polysaccharide, or CpG adjuvanted pneumococccal polysaccharide, or Protein D
conjugate pneumococcal polysaccharide.

5

Immunisation Protocol

Six to 8 week old balb/c mice were immunise subcutaneously with the vaccine
formulations described below. The dosage was 1 μg per polysaccharide for both
10   conjugated and non-conjugated formulations. A test bleed was performed 14 days
later to measure IgG concentrations. After 56 days, another test bleed was
performed, and then a booster vaccination was given, and a final test bleed was
performed 14 days later, that is 70 days after the first immunisation.

Group	Prime	Boost
1	Saline	Conjugate
2	PS	PS
3	PS/Cp	PS
4	PS	Conjugate
5	PS/Cp	Conjugate
6	Conjug	PS
7	Conjug	PS/CpG
8	Conjug	Conjugate

15

Components used

Component	Batch	Conc μg/ml	Buffer	Adsorption	PS conc after adsorption μg/ml	Buffer after adsorption
PS6b	6b/24	2000	NaCl 150mM			
PS14	14/19	2000	NaCl 150mM			
PS19	19f/26b	2000	NaCl 150mM			
PS23	23f/29	2000	NaCl 150mM			
Conjugate PDPS6B	D6BPDJ 209			MBSP9801	100	NaCl 150mM pH 6.1/phenoxy

- 24 -

Component	Batch	Conc µg/ml	Buffer	Adsorption	PS conc after adsorptio n µg/ml	Buffer after adsorption
Conjugate PDPS14	D14PDJ 204			MBSP9801	100	NaCl 150mM pH 6.1/phenoxy
Conjugate PDPS19	D19PDJ 207			MBSP9801	100	NaCl 150mM pH 6.1/phenoxy
Conjugate PDPS23	D23PDJ 213			MBSP9801	100	NaCl 150mM pH 6.1/phenoxy
CpG	Oligo 1	5000	H2O			
St Pn AlPO4 diluent	97D004 5				1000	NaCl 150mM pH 6.1/phenoxy

Formulation process

Preparation of 4 concentrated, adsorbed monovalents (PS-PD conjugates)

5

The concentrated, adsorbed monovalents were prepared according the procedure described above in Example 2.

Preparation of tetravalent (PS-PD conjugates)

10

The four concentrated, adsorbed monovalents were mixed at the right ratio (1μg of each valence/dose) and diluted in NaCl pH6.1. The complement of AlPO4 (10μg/dose) was added as a diluent at 1mg/ml in NaCl 150mM pH6.1 containing 5mg/ml of phenoxyethanol.

15

Preparation of non-conjugated, non-adsorbed, tetravalent with or without CpG (free PS)

The four free PS were mixed at the right ratio (1μg of each valence/dose) and
20   diluted in NaCl pH6.1. When needed, CpG (100μg/dose) was added. Five mg/ml of phenoxyethanol were added as preservative.

- 25 -

The formulations for both injections were prepared 6 days before the first administration in non siliconized glass vials.

Formulation process

5

Preparation of 4 concentrated, adsorbed monovalents (PS-PD conjugates)

The concentrated, adsorbed monovalents were prepared according the procedure describe above.

10   **Preparation of tetravalent (PS-PD conjugates)**

The four concentrated, adsorbed monovalents were mixed at the right ratio ($1\mu g$ of each valence/dose). The complement of AlPO4 ($10\mu g$/dose) was added as a diluent at 1mg/ml in NaCl 150mM pH6.1 containing 5mg/ml of phenoxyethanol.

15

Preparation of non conjugated, non adsorbed, tetravalent with or without CpG (free PS)

The four free PS were mixed at the right ratio ($1\mu g$ of each valence/dose) and
20   diluted in NaCl pH6.1. When needed, CpG was added. Five mg/ml of phenoxyethanol were added as preservative.

The formulations for both injections were prepared 6 days before the first administration in non siliconized glass vials.

25

ELISA

The ELISA was performed as described in Example 1

Results

The results of this experiment are the priming and the boosting. The results of the
priming were consistent with previous observations (Example 1) in that increased
seroconversion and higher IgG concentrations were found in mice that were
immunised with CpG adjuvanted polysaccharide compared to plain polysaccharide.
As was found in Example 1, the increases in type 14 IgG concentration with CpG
adjuvantation are statistically significant compared to PS alone, and the increases
for type 19F approach significance. However, the IgG concentrations with CpG
adjuvantation were not as high as observed in Example 1. To explain this
difference, only two differences in the experiments were made, the valency of the
vaccine (23 valent versus 4 valent) and the route of immunisation (intramuscular
versus subcutaneous). Since the reduction of valence is not expected to decrease
immunogenicity, the evidence indicates that the route of immunisation is important
for optimal CpG adjuvantation of T-independent antigens. This is consistent with a
recent publication which disclosed a failed attempt to use CpG adjuvantation of a
plain polysaccharide vaccine. The route of immunisation employed was
interperitoneal (Threadgill et al Vaccine 1998 Vol 16(1) p76).

	Seroconversion	GMC
PS 14	2/20*	0.07
PS14/CpG	12/20*δ	0.15
Conjugate	24/30δ	1.04
PS19F	1/20ξ	0.08
PS19F CpG	4/20ξϖ	0.10
Conjugate	22/30ϖ	0.35

* p = 0.001 Fisher's exact test
δ p = 0.11 Fisher's exact test
ξ p = 0.17 Fisher's exact test
ϖ p < 0.001 Fisher's exact test

- 27 -

In the second part of this experiment, animals primed with either PS, PS/CpG or
conjugate vaccine, were boosted with PS, or with PS/CpG or with conjugate. To
normalise the data for comparison, the fold increase in IgG was determined 14 days
5   after the booster was given, and the number of animal showing an increase in
antibody concentration were counted as responders.

Prime	Boost	Geometric Fold Increase	Positive responders
PS	PS	1.7*	5/10
PS/CpG	PS	2.8*	6/10
Conjugate	PS	0.78ξ	1/10 δ
Conjugate	PS/CpG	1.7ξ	6/10 δ
Conjugate	Conjugate	4.2	7/10

     * p= 0.09 Student's t-test
10   ξ p = 0.12 Student's t-test
     δ p = 0.03 Fisher's exact test

Discussion

15   This example confirms the results presented in Example 1, but has revealed that the
mode of immunisation may be important for optimal immunity. In an extension of
the experiment to boosting and memory, two interesting characteristics of CpG
adjuvantation are demonstrated. The first is that priming with PS adjuvanted with
CpG leads to a higher fold increase upon boosting with polysaccharide, and there is
20   a trend towards statistical significance. This would indicate that CpG was able to
induce better memory. The second characteristic is that CpG can adjuvant a
memory response induced by polysaccharide in animals primed with conjugate
vaccine.

Conclusions

CpG is able to induce in mice an antibody isotype switch against non-conjugated
polysaccharides. The magnitude of the IgG response is higher with CpG.

Claims

1.   A formulation comprising a CpG oligonucleotide and T-independent type 1
or type 2 antigens or polysaccharide conjugate antigen.

2.   A formulation as claimed in claim 1, wherein the antigen is selected from
the unconjugated Streptococcus Pneumoniae Polysaccharide, unconjugated
meningococcal Polysaccharide, unconjugated Salmonella polysaccharide,
unconjugated group A or group B streptococcal polysaccharides, polysaccharides
from mycobacteria unconjugated Pseudomonas mucoid polysaccharide or TF-
antigens derived from HIV, or lipopolysaccharides or detoxified
lipopolysaccharides derived from Salmonella, Cholera, Echerichia, Neisseria,
Chlamydia, Shigella, Pertussis, Haemophilus, or Pseudomonas, or T-independent
antigens from Plasmodium.

3.   A formulation as claimed in claim 1 & 2 wherein the CpG deoxyribo- or
ribo-oligonucleotide has an internucleotide bond, selected from phosphodiesters,
phosphorodithioate and phosphorothiote.

4.   A formulation comprising a CpG deoxyribo or ribo-oligonucleotide
sequence claimed in claim 2 which contains two CpG sequences that are separated
by seven or more nucleotide base pairs.

5.   A deoxyribo or ribo-oligonucleotide sequence claimed in claim 4 which
contains two CpG sequences that are separated by 10 to 15 nucleotide base pairs.

6.   A formulation as claimed herein wherein the CpG oligonucleotide is
selected from the group:

GCTACTGGTACG TACATTC AGACGGC TCTT
ACTATCTAAACGCTAATGGTGCTATGGCGACAGGATGGCT

TCC ATG ACG TTC CTG ACG TT
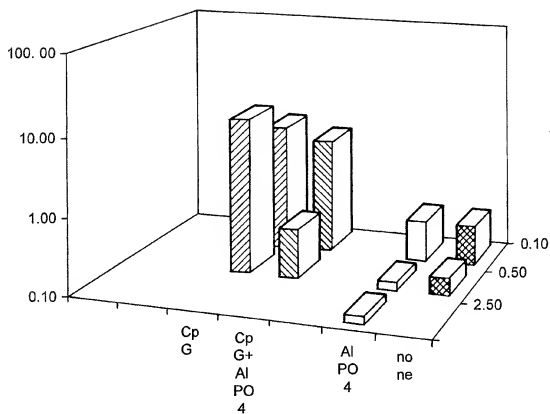
TCT CCC AGC GTG CGC CAT

7.   A vaccine composition as claimed herein for use in medicine.

8.   A method of inducing an immune response to T independent type 1 or type 2 antigen or a polysaccharide conjugate antigen, said method comprising administering a safe and effective amount of a formulation as claimed herein to a patient.
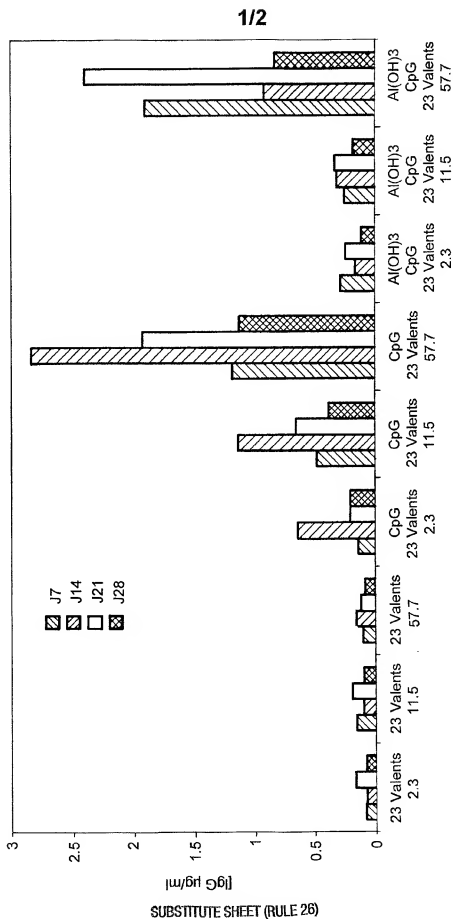
Fig.1 anti-PS14 IgG levels (days 7-28) in sera   B45124a

Fig.2　B45124a